

## PART B - FEE(S) TRANSMITTAL

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**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

36257 7590 08/26/2004

**PARSONS HSUE & DE RUNTZ LLP**  
**655 MONTGOMERY STREET**  
**SUITE 1800**  
**SAN FRANCISCO, CA 94111**

11/23/2004 DEMMANU2 00000030 10081496

01 FC:2501 685.00 OP  
 02 FC:8001 9.00 OP

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Franklin Dyer	(Depositor's name)
<i>Franklin Dyer</i>	(Signature)
11/19/04	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/081,496	02/20/2002	Benedict J. Costello	M-12070 US	1499

TITLE OF INVENTION: ELECTROSTATIC CONTROL OF MICRO-OPTICAL COMPONENTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$0	\$665	11/26/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
FARAHANI, DANA	2814	257-414000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Parsons Hsue &  
 de Runtz LLP

1 \_\_\_\_\_  
 2 \_\_\_\_\_  
 3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Dicon Fiberoptics, Inc.

Richmond, California

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 502664 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Typed or printed name

James S. Hsue

Date

Registration No.

29,545

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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November 19, 2004

Mail Stop Issue Fee  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicant(s): Benedict J. Costell et al.

Title: ELECTRONIC CONTROL OF MICRO-OPTICAL COMPONENTS

Application No.: 10/081,496

Filing Date: February 20, 2002

Examiner: Dana Farahani

Group Art Unit: 2814

Docket No.: DICO.038US1

Conf. No.: 1499

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate);
- (3) Issue Fee Transmittal (1 page-in duplicate);
- (4) Check for \$694.00

☒ The fee has been calculated as shown below:

<input checked="" type="checkbox"/> Issue Fee	\$	685.00
<input type="checkbox"/> Publication Fee	\$	0.00
<input checked="" type="checkbox"/> 3 Advance Copies	\$	9.00

**Total: \$ 694.00**☒ Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502664.Certificate of Mailing Under 37 CFR 1.8

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 19, 2004.

Franklin Dyer

Respectfully submitted,

James S. Hsue  
Reg. No. 29,545

Date

11/19/04